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Image# 13961196979

### **FEC** FORM 3X

### **REPORT OF RECEIPTS AND DISBURSEMENTS**

TOTAL OX	For Other Than An Aut	norized Committee	Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, typover the lines.	pe 12FE4M5
The Caterpillar Inc. Er	mployee Political Actio	on Committee	
ADDRESS (number and street)	100 N.E. Adams Street		
Check if different			
than previously reported. (ACC)	Peoria		IL 61629-1430 –
2. FEC IDENTIFICATION N	IUMBER ▼ CIT	ΓY <b>▲</b>	STATE ▲ ZIP CODE ▲
C C00148031		S THIS X NEW (N)	OR AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 2	Year Only)  Sep 20 (M9)  Dec 20 (M12)
(a) Quarterly Reports:	<u> </u>	00 (M4)	(Non-Election Year Only)
April 15 Quarterly Report (		20 (M4) Jul 20	(M7) Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (	(C) 12-Day PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (	YE) Election		in the State of
July 31 Mid-Year Report (Non-electi Year Only) (MY)	POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Repor (TER)	t Election	on on	in the State of
	02 01 2013	through	02 28 2013
I certify that I have examined t	his Report and to the best of	my knowledge and belief	it is true, correct and complete.
Type or Print Name of Treasur	er Michael C Morrison		
Signature of Treasurer Mic	hael C Morrison	[Electronically Filed	Date 03 / 20 / 2013
NOTE: Submission of false, error	neous, or incomplete informatio	n may subject the person si	gning this Report to the penalties of 2 U.S.C. §437g.
Office Use			FEC FORM 3X Rev. 12/2004
l Only I	l l	1 1	

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 02 01 2013 To: 02 28 2013

		COLUMN A This Period				
6.	(a) Cash on Hand January 1, 2013		140972.23			
	(b) Cash on Hand at Beginning of Reporting Period	192304.97				
	(c) Total Receipts (from Line 19)	50980.84	102524.85			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	243285.81	243497.08			
7.	Total Disbursements (from Line 31)	61.39	272.66			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	243224.42	243224.42			
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 02	01 2013 To:	02 28 2013
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	18229.79	29783.74
(i) Itemized (use Schedule A)	10223.73	25.55.1
(ii) Unitemized(iii) TOTAL (add	31743.96	71727.95
Lines 11(a)(i) and (ii)	49973.75	101511.69
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5)▶	49973.75	101511.69
2. Transfers From Affiliated/Other Party Committees	0.00	0.00
s. All Loans Received	0.00	0.00
L Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures	,	
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
6. Refunds of Contributions Made		, , , , , , , , , , , , , , , , , , , ,
to Federal Candidates and Other		
Political Committees	1000.00	1000.00
. Other Federal Receipts		
(Dividends, Interest, etc.)	7.09	13.16
. Transfers from Non-Federal and Levin Funds	, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
Total Receipts (add Lines 11(d),	50000.04	400504.05
12, 13, 14, 15, 16, 17, and 18(c))▶	50980.84	102524.85
Total Federal Receipts     (subtract Line 18(c) from Line 19) ▶	50980.84	102524.85

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)	13.00 1 31100	Calcinal Teal-to-Date
(i) Federal Share	0.00	0.00
.,	0.00	0.00
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00
Expenditures	61.39	172.66
(c) Total Operating Expenditures		
(add 21(a)(i), (a)(ii), and (b))	61.39	172.66
Committees	0.00	0.00
Contributions to     Federal Candidates/Committees     and Other Political Committees	0.00	0.00
. Independent Expenditures	0.00	0.00
(use Schedule E)	0.00	0.00
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	, 0.00
. Loan Repayments Made	0.00	0.00
Loans Made	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds	0.00	0.00
(add Lines 28(a), (b), and (c))▶		
). Other Disbursements	0.00	100.00
. Federal Election Activity (2 U.S.C. §431(20))  (a) Allocated Federal Election Activity		
(from Schedule H6) (i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	61.39	272.66
. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	61.39	272.66

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	49973.75	101511.69
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	49973.75	101511.69
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	61.39	172.66
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	61.39	172.66

FOR LINE NUMBER: **PAGE** 6 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Eric J Minder Date of Receipt Mailing Address 100 NE Adams 2013 28 City State Zip Code Transaction ID: PR1039580727726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 102.73 federal political committee. Name of Employer Occupation Caterpillar Inc. EHS Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$102.73 Monthly) 205.46 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher J. Myers Date of Receipt Mailing Address 100 NE Adams Street 02 28 2013 City State Zip Code Transaction ID: PR1234663127726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 162.36 federal political committee. Name of Employer Occupation Caterpillar Inc. Mgr., Federal Gov. Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$162.36 Monthly) 324.72 Other (specify) Full Name (Last, First, Middle Initial) c. Tana L. Utley Date of Receipt Mailing Address 100 NE Adams Street 28 2013 City State Zip Code Transaction ID: PR1494443227726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 454.55 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$454.55 Monthly) 871.55 Other (specify) 719.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

	FOF	R LINE	NU	PAGE		7	OF	34		
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16	Г	7 17

or for commercial purposes, other than using the	name and address of any political committee to	
NAME OF COMMITTEE (In Full)	· · · · · · · · · · · · · · · · · · ·	
The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial)  A. Edwin O Neil Jr		Date of Receipt
Mailing Address 100 N.E. Adams Street		02 28 2013
City	State Zip Code	Transaction ID : PR1671415727726
Peoria	IL 61629-1430	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	109.40
Name of Employer	Occupation	
Caterpillar Inc.	Mfg. Logistics & Transp. Mgr.	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	218.80	P/R Deduction (\$109.40 Monthly)
Full Name (Last, First, Middle Initial)  Bonnie J Fetch		Date of Receipt
Mailing Address 100 N.E. Adams		02 28 2013
City	State Zip Code	Transaction ID : PR1820646927726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	187.59
Name of Employer	Occupation	
Caterpillar Inc.	Business Unit Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 375.18	P/R Deduction (\$187.59 Monthly)
Full Name (Last, First, Middle Initial)  C. Ian Aguirre		Date of Receipt
Mailing Address 100 N.E. Adams Street		02 28 2013
City	State Zip Code	Transaction ID : PR1870861127726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	103.34
Name of Employer	Occupation	
Caterpillar Inc.	Sr Engineering Proj Team Leadr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	206.68	P/R Deduction (\$103.34 Monthly)
SUBTOTAL of Receipts This Page (optional)		400.33
TOTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: **PAGE** 8 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

34

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Wesley Knepp Date of Receipt Mailing Address 100 N.E. Adams Street 2013 28 City State Zip Code Transaction ID: PR1870861227726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 125.57 federal political committee. Name of Employer Occupation Sr Labor Relations Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$125.57 Monthly) 251.14 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gary Hendrickson Date of Receipt Mailing Address 100 N.E. Adams Street 02 28 2013 City State Zip Code Transaction ID: PR1870861727726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 119.10 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$119.10 Monthly) 238.20 Other (specify) Full Name (Last, First, Middle Initial) c. Susan Toher Date of Receipt Mailing Address 100 N.E. Adams Street 28 2013 City State Zip Code Transaction ID: PR1870863027726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$150.00 Monthly) 300.00 Other (specify) 394.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	FOR LINE	NUMBER:	PAGE	= 9 OF
Use separate schedule(s)	(check only	y one)		
for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12
	13	14	15	16

NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee		to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Phillip Moore Mailing Address 100 N.E. Adams Street		Date of Receipt
		02 28 2013
City	State Zip Code	Transaction ID : PR1870864427726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	106.40
Name of Employer	Occupation	-
Caterpillar Inc.	Facility Manager 1	
Receipt For:  Primary General  Other (specify)	Aggregate Year-to-Date ▼  225.61	P/R Deduction (\$106.40 Monthly)
Full Name (Last, First, Middle Initial) James Bartelme		Date of Receipt
Mailing Address 100 N.E. Adams Street		02 28 2013
City	State Zip Code	Transaction ID : PR1870865127726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	107.22
Name of Employer	Occupation	1
Caterpillar Inc.	Marketing Supervisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	214.44	P/R Deduction (\$107.22 Monthly)
Full Name (Last, First, Middle Initial) Steven Tillotson		Date of Receipt
Mailing Address 100 N.E. Adams Street		02 28 2013
City	State Zip Code	Transaction ID : PR1870867827726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	140.24
Name of Employer	Occupation	1
Caterpillar Inc.	Project Success Manager	
Receipt For:	Aggregate Year-to-Date ▼	]
Primary General  Other (specify) ▼	280.48	P/R Deduction (\$140.24 Monthly)
UBTOTAL of Receipts This Page (optional).		353.86

FOR LINE NUMBER: PAGE 10 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher Hekel Date of Receipt Mailing Address 100 N.E. Adams Street 2013 City State Zip Code Transaction ID: PR1870868027726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 152.12 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$152.12 Monthly) 304.24 Other (specify) Full Name (Last, First, Middle Initial) B. Genda Saletzki Date of Receipt Mailing Address 100 N.E. Adams Street 02 28 2013 City State Zip Code Transaction ID: PR1870872327726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 139.46 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$139.46 Monthly) 278.92 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Moore Date of Receipt Mailing Address 100 N.E. Adams Street 28 2013 City State Zip Code Transaction ID: PR1870872427726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 188.53 С federal political committee. Name of Employer Occupation Caterpillar Inc. Director, Investor Relations Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$188.53 Monthly) 377.06 Other (specify) 480.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 - 9

	FOF	R LINE	NU	IMBER	:	PAGE	•	11 OF	=	34
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Lynn Sutton Date of Receipt Mailing Address 100 N.E. Adams Street 2013 City State Zip Code Transaction ID: PR1870872827726 IL 61629-0001 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 130.44 federal political committee. Name of Employer Occupation Engineering Manager-1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$130.44 Monthly) 260.88 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Carr Date of Receipt Mailing Address 100 N.E. Adams Street 02 28 2013 City State Zip Code Transaction ID: PR1870874727726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 229.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager

Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 458.00	P/R Deduction (\$229.00 Monthly)
Full Name (Last, First, Middle Initial)  C. Charles Turpen	•	Date of Receipt
Mailing Address 100 N.E. Adams Street  City	State Zip Code	02 28 2013  Transaction ID : PR1870875427726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	130.17
Name of Employer	Occupation	
Caterpillar Inc.	Manufacturing Engrg Mgr 1	
Receipt For:    Primary   General	Aggregate Year-to-Date ▼	P/R Deduction (\$130.17 Monthly)

260.34

489.61

Receipt For:

Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOR	LINE	NU	MBER	PAGE		12 OF	:	34	
Use separate schedule(s)	(che	(check only one)								
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_ common common, conge		13		14		15		16		17

or for commercial purposes, other than usin	and statements may not be sold or used by any per g the name and address of any political committee	
NAME OF COMMITTEE (In Full)	B. 100 - 1.4 - 00 - 00 - 00	
The Caterpillar Inc. Employe	ee Political Action Committee	
Full Name (Last, First, Middle Initial)  A. Otto Breitschwerdt		Date of Receipt
Mailing Address 100 N.E. Adams Street		02 28 2013
City	State Zip Code	Transaction ID : PR1870876827726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	170.89
Name of Employer	Occupation	7
Caterpillar Inc.	Facility Manager 4	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  341.78	P/R Deduction (\$170.89 Monthly)
Full Name (Last, First, Middle Initial)  3. Christopher L. Dunn		Date of Receipt
Mailing Address 100 NE Adams		02 28 2013
City	State Zip Code	Transaction ID : PR1875412127726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	101.19
Name of Employer	Occupation	1
Caterpillar, Inc.	Group Manager 3	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  202.38	P/R Deduction (\$101.19 Monthly)
Full Name (Last, First, Middle Initial)  C. Michael A A McGuire		Date of Receipt
Mailing Address 100 N.E. Adams St		02 28 2013
City	State Zip Code	Transaction ID : PR1947286227726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	172.64
Name of Employer	Occupation	1
Solar Turbines Inc.	Manager	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General  Other (specify) ▼	345.28	P/R Deduction (\$172.64 Monthly)
SUBTOTAL of Receipts This Page (optional	al)	444.72
	<u>-</u>	
TOTAL This Period (last page this line num	nber only)	

	FO	FOR LINE NUMBER: PAGE 13 OF										
Use separate schedule(s) for each category of the	(ch	eck only	or	ne)								
Detailed Summary Page	×	11a		11b		11c		12				
		13		14		15		16		17		

		atements may not be sold or used by any personame and address of any political committee to	
\	NAME OF COMMITTEE (In Full)		
$\rangle$	The Caterpillar Inc. Employee P	olitical Action Committee	
۹.	Full Name (Last, First, Middle Initial) Kent Meils Adams		Date of Receipt
	Mailing Address 2120 West End Avenue P.O. Box 340001		02 28 _ 2013 _
	City	State Zip Code	Transaction ID : PR380417627726
	Nashville	TN 37203-5251	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	347.50
	Name of Employer	Occupation	
	Caterpillar Inc.	Vice President	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 695.00	P/R Deduction (\$347.50 Monthly)
3.	Full Name (Last, First, Middle Initial) Janet Marie Arnold		Date of Receipt
	Mailing Address Chemical Compounds Facility		M = M / D = D / Y = Y = Y
	City	State Zip Code	02 28 2013
	Peoria	IL 61602	Transaction ID : PR380424127726  Amount of Each Receipt this Period
			Amount of Lacif neceipt tills Period
	FEC ID number of contributing federal political committee.	C	164.50
	Name of Employer	Occupation	
	Caterpillar Inc.	Corporate Marketing Talent Mgr	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 329.00	P/R Deduction (\$164.50 Monthly)
<del>-</del> Э.	Full Name (Last, First, Middle Initial)  Mary H Bell		Date of Receipt
	Mailing Address 500 North Morton Avenue		02 28 2013
	City	State Zip Code	Transaction ID : PR380434027726
	Morton	IL 61550-1527	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	375.00
	Name of Employer	Occupation	
	Caterpillar Inc.	Vice President	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	750.00	P/R Deduction (\$375.00 Monthly)
s	UBTOTAL of Receipts This Page (optional)		887.00
		<u>^</u> _	
T	<b>OTAL</b> This Period (last page this line number of	only)	

FOR LINE NUMBER: PAGE 14 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) J. Wesley Blumenshine Date of Receipt Mailing Address 100 NE Adams 2013 28 City Zip Code State Transaction ID: PR380441227726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 131.65 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$131.65 Monthly) 263.30 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Joseph Bluth Date of Receipt Mailing Address Route 31, Montgomery IL PO Box 348 02 28 2013 City State Zip Code Transaction ID: PR380441427726 IL 60507-0348 Aurora Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 833.32 Other (specify) Full Name (Last, First, Middle Initial) c. Richard R Burritt Date of Receipt Mailing Address 600 W Washington St 28 02 2013 Zip Code City State Transaction ID: PR380454227726 IL East Peoria 61611-2032 Amount of Each Receipt this Period FEC ID number of contributing 196.76 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Technology Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$196.76 Monthly) 393.52 Other (specify) 745.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 - 9

	FOF	R LINE	NU	MBER	PAGE	1	15 OF	=	34	
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for commercial purposes, other than using the	name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee P	Political Action Committee	
Full Name (Last, First, Middle Initial) John A Campbell		Date of Receipt
Mailing Address P O Box 600 Illinois Route 29		02 28 2013
City	State Zip Code	Transaction ID : PR380457227726
Mossville	IL 61552-0600	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	274.86
Name of Employer	Occupation	
Caterpillar Inc.	Special Assignment	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 549.72	P/R Deduction (\$274.86 Monthly)
Full Name (Last, First, Middle Initial) Gregory L Ferguson		Date of Receipt
Mailing Address P O Box 504 2200 Channahon Rd		02 28 2013
City	State Zip Code	Transaction ID : PR380502027726
Joliet	IL 60434-0504	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	111.01
Name of Employer	Occupation	
Caterpillar Inc.	Supply Chain Manager 2	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	222.02	P/R Deduction (\$111.01 Monthly)
Full Name (Last, First, Middle Initial) Steven Lee Fisher		Date of Receipt
Mailing Address 330 SW Adams		02 28 2013
City	State Zip Code IL 61602-1502	Transaction ID : PR380503727726
Peoria	IL 61602-1502	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	478.97
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For:  Primary General	Aggregate Year-to-Date ▼	D/D Daduction (0470 07 Marsh)
Other (specify) ▼	957.94	P/R Deduction (\$478.97 Monthly)
UBTOTAL of Receipts This Page (optional)		864.84
OTAL This Period (last page this line number	<u> </u>	

	FOF	R LINE	NU	IMBER	:	PAGE	16 OF	- 3	34
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	Statements may not be sold or used by any person and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Christopher E Glynn  Mailing Address 100 NE Adams		Date of Receipt
maining reactors Too NE Adams		02 28 2013
City	State Zip Code	Transaction ID : PR380517427726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	
Caterpillar Inc.	Special Project Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 600.00	P/R Deduction (\$300.00 Monthly)
Full Name (Last, First, Middle Initial)  Edward A Goodrich		Date of Receipt
Mailing Address 2120 West End Avenue P O Box 340001		02 28 _2013 _
City	State Zip Code	02 28 2013 Transaction ID : PR380519427726
Nashville	TN 37203-5251	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	157.38
Name of Employer	Occupation	
Caterpillar Inc.	Vice President, CFSC	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 314.76	P/R Deduction (\$157.38 Monthly)
Full Name (Last, First, Middle Initial)  C. Gerald F Gorman		Date of Receipt
Mailing Address Route 31, Montgomery IL PO Box 348	7. 0.1	02 28 2013
City Aurora	State Zip Code IL 60507-0348	Transaction ID : PR380519827726
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 210.00
Name of Employer	Occupation	
Caterpillar Inc.	Chief Information Officer	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	420.00	P/R Deduction (\$210.00 Monthly)
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	667.38
TOTAL This Period (last page this line number	r only)	

	FOF	LINE	NU	MBER	:	PAGE	•	17 OF	=	34
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	Statements may not be sold or used by any pers he name and address of any political committee t	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Stephen A Gosselin  Mailing Address 100 NE Adams		Date of Receipt  02 28 2013
City Peoria  FEC ID number of contributing federal political committee.	State Zip Code IL 61629-0001	Transaction ID: PR380520127726  Amount of Each Receipt this Period  408.33
Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Vice President  Aggregate Year-to-Date ▼  816.66	P/R Deduction (\$408.33 Monthly)
Full Name (Last, First, Middle Initial)  3. Danny C Hanback  Mailing Address 100 NE Adams  City	State Zip Code	Date of Receipt    M
Peoria  FEC ID number of contributing federal political committee.	IL 61629-0001	Amount of Each Receipt this Period  274.86
Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify) ▼	Occupation Sr.VP & Chief Ops. Officer-EMD  Aggregate Year-to-Date ▼  549.72	P/R Deduction (\$274.86 Monthly)
Full Name (Last, First, Middle Initial)  Mark S Hanback  Mailing Address 100 NE Adams		Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Peoria  FEC ID number of contributing federal political committee.	State Zip Code IL 61629-0001	Transaction ID : PR380529927726  Amount of Each Receipt this Period  181.60
Name of Employer  Caterpillar Inc.  Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Director of CPS  Aggregate Year-to-Date ▼  363.20	P/R Deduction (\$181.60 Monthly)
SUBTOTAL of Receipts This Page (optional).	<b>•</b>	864.79
TOTAL This Period (last page this line number	er only)	

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Use separate schedule(s)	(che	ck only	or	ne)									
for each category of the Detailed Summary Page	X	11a		11b		11c		12					
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gwenne A Henricks Date of Receipt Mailing Address 600 W Washington St 2013 28 City State Zip Code Transaction ID: PR380539527726 East Peoria IL 61611-2032 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.67 Monthly) 833.34 Other (specify) Full Name (Last, First, Middle Initial) B. Zachary Alan Kauk Date of Receipt Mailing Address 100 NE Adams 02 28 2013 City State Zip Code Transaction ID: PR380563927726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 176.13 federal political committee. Name of Employer Occupation Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$176.13 Monthly) 352.26 Other (specify) Full Name (Last, First, Middle Initial) c. William C Lane Date of Receipt Mailing Address 100 NE Adams

28 02 2013 City State Zip Code Transaction ID: PR380577627726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Mgr, Governmental Affairs-S/A Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$106.39 Monthly) 212.78 Other (specify)

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106.39

FOR LINE NUMBER: PAGE 19 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Edward Lang Date of Receipt Mailing Address 500 N Morton Ave 2013 28 City State Zip Code Transaction ID: PR380577727726 Morton IL 61550-1527 Amount of Each Receipt this Period FEC ID number of contributing C 129.42 federal political committee. Name of Employer Occupation **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$129.42 Monthly) 258.84 Other (specify) Full Name (Last, First, Middle Initial) B. Stephen P Larson Date of Receipt Mailing Address 500 N Morton Ave 02 28 2013 City State Zip Code Transaction ID: PR380578727726 IL Morton 61550-1527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 833.32 Other (specify) Full Name (Last, First, Middle Initial) c. Eric A. Morr Date of Receipt Mailing Address 4666 E BAKER WOODS LN 28 2013 City State Zip Code Transaction ID: PR380616627726 IL **DECATUR** 62521-4282 Amount of Each Receipt this Period FEC ID number of contributing 112.48 С federal political committee. Name of Employer Occupation Caterpillar, Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$112.48 Monthly) 224.96 Other (specify) 658.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Douglas R Oberhelman Date of Receipt Mailing Address 100 NE Adams 2013 28 City Zip Code State Transaction ID: PR380629927726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 1333.34 federal political committee. Name of Employer Occupation CEO, Chairman of the Board Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$1333.34 Monthly) 2666.68 Other (specify) Full Name (Last, First, Middle Initial) B. William J Rohner Date of Receipt Mailing Address 100 NE Adams 02 28 2013 City State Zip Code Transaction ID: PR380658227726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 495.13 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$495.13 Monthly) 990.26 Other (specify) Full Name (Last, First, Middle Initial) **c.** Edward J Scott Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 02 28 2013 City Zip Code State Transaction ID: PR380671227726 TN Nashville 37203-5251 Amount of Each Receipt this Period FEC ID number of contributing 262.93 С federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$262.93 Monthly) 525.86 Other (specify) 2091.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page	X	11a		11b		11c		12				
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James E Sibley Date of Receipt Mailing Address P O Box 600 Illinois Route 29 2013 28 City State Zip Code Transaction ID: PR380677627726 Mossville IL 61552-0600 Amount of Each Receipt this Period FEC ID number of contributing C 194.35 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$194.35 Monthly) 388.70 Other (specify) Full Name (Last, First, Middle Initial) B. Dennis C Skarvan Date of Receipt Mailing Address 100 NE Adams 02 28 2013 City State Zip Code Transaction ID: PR380680227726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 265.06 federal political committee. Name of Employer Occupation Caterpillar Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$265.06 Monthly) 530.12 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Paul Walters Date of Receipt

Mailing Address 100 NE Adams 02 28 2013 City State Zip Code Transaction ID: PR380716727726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Caterpillar Inc. Manager, Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$140.00 Monthly) 280.00 Other (specify)

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140.00

FOR LINE NUMBER: PAGE 22 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven H Wunning Date of Receipt Mailing Address 100 NE Adams 2013 28 City State Zip Code Transaction ID: PR380731427726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 833.32 Other (specify) Full Name (Last, First, Middle Initial) B. James Michael Rooney Date of Receipt Mailing Address 100 NE Adams 02 28 2013 City State Zip Code Transaction ID: PR399957927726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$200.00 Monthly) 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Alan Balkema Date of Receipt Mailing Address 330 Sw Adams 28 2013 City State Zip Code Transaction ID: PR497884027726 IL Peoria 61602-1502 Amount of Each Receipt this Period FEC ID number of contributing 135.63 С federal political committee. Name of Employer Occupation Caterpillar Inc. Category Purchasing Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$135.63 Monthly) 271.26 Other (specify) 752.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 -9

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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
igr > The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  A. James R. Blass		Date of Receipt
Mailing Address 100 N.E. Adams		02 28 2013
City	State Zip Code	Transaction ID : PR498010127726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	118.15
Name of Employer	Occupation	
Caterpillar Inc.	TSD Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  236.30	P/R Deduction (\$118.15 Monthly)
Full Name (Last, First, Middle Initial)  James Carlberg		Date of Receipt
Mailing Address 6205 N Black Oak Ct		M = M / D = D / Y = Y = Y
City	State Zip Code	02 28 2013
Peoria	IL 61615-2257	Transaction ID : PR498213727726  Amount of Each Receipt this Period
		Amount of Each Necelpt this Period
FEC ID number of contributing federal political committee.	C	157.62
Name of Employer	Occupation	
Caterpillar Inc.	Product Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 315.24	P/R Deduction (\$157.62 Monthly)
Full Name (Last, First, Middle Initial)  C. Jerome Duggan		Date of Receipt
Mailing Address 100 N.E. Adams		02 28 2013
City	State Zip Code	Transaction ID : PR498560727726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	145.47
Name of Employer	Occupation	
Caterpillar Inc.	Business Resource Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	295.73	P/R Deduction (\$145.47 Monthly)
SUBTOTAL of Receipts This Page (optional)	<b></b>	421.24
TOTAL This Period (last page this line number	only)	

Mossville

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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for each category of the Detailed Summary Page	X 11a 11b 11c 12	2
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Transaction ID: PR498764927726

109.35

Amount of Each Receipt this Period

P/R Deduction (\$109.35 Monthly)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gregory Scott Folley Date of Receipt Mailing Address 100 NE Adams 2013 28 City State Zip Code Transaction ID: PR498716727726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 833.32 Other (specify) Full Name (Last, First, Middle Initial) B. Alan Frese Date of Receipt Mailing Address 100 N.E. Adams 02 28 2013 City State Zip Code Transaction ID: PR498752627726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 227.92 federal political committee. Name of Employer Occupation Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$227.92 Monthly) 455.84 Other (specify) Full Name (Last, First, Middle Initial) c. David L Fuelberth Date of Receipt Mailing Address P O Box 600 28 2013 Illinois Route 29 City State Zip Code

753.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 -

218.70

61552-0600

IL

С

Occupation

General Manager

Aggregate Year-to-Date ▼

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	Statements may not be sold or used by any pers he name and address of any political committee t	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Gregory S Gauger  Actions Address B & B & B & B & B & B & B & B & B & B		Date of Receipt
Mailing Address P O Box 600 Illinois Route 29		02 28 2013
City	State Zip Code	Transaction ID : PR498802627726
Mossville	IL 61552-0600	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	190.57
Name of Employer	Occupation	_
Caterpillar Inc.	General Engineering Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  381.14	P/R Deduction (\$190.57 Monthly)
Full Name (Last, First, Middle Initial)  3. Steven L. Guse		Date of Receipt
Mailing Address 7203 N Draycott Place		02 28 2013
City	State Zip Code	Transaction ID : PR498934527726
Peoria	IL 61615-9299	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	255.12
Name of Employer	Occupation	1
Caterpillar Inc.	Rgnl/Group Financial Officer	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	510.24	P/R Deduction (\$255.12 Monthly)
Full Name (Last, First, Middle Initial)  C. Bradley M Halverson		Date of Receipt
Mailing Address 1456 Ne Windermere Dr		02 28 2013
City	State Zip Code IL 61568-9782	Transaction ID : PR498961827726
Tremont	IL 61568-9782	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	253.92
Name of Employer	Occupation	1
Caterpillar Inc.	Group President & CFO	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	507.84	P/R Deduction (\$253.92 Monthly)
SUBTOTAL of Receipts This Page (optional)		699.61
TOTAL This Period (last page this line number	er only)	1 1 40 1 1 40 1 1 70 1

	FOF	LINE	NU	MBER	:	PAGE	2	26 OF	= 3	4
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Julie Lagacy Date of Receipt Mailing Address 732 N Oklahoma Ave 2013 28 City State Zip Code Transaction ID: PR499474827726 Morton IL 61550-2333 Amount of Each Receipt this Period FEC ID number of contributing C 454.55 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$454.55 Monthly) 524.63 Other (specify) Full Name (Last, First, Middle Initial) B. Stuart L Levenick Date of Receipt Mailing Address 100 NE Adams 02 28 2013 City State Zip Code Transaction ID: PR499546727726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 833.32 Other (specify) Full Name (Last, First, Middle Initial) c. James P Matteo Date of Receipt Mailing Address 100 N.E. Adams Street 28 02 2013 City State Zip Code Transaction ID: PR499691027726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 105.42 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$105.42 Monthly) 210.84 Other (specify) 976.63 SUBTOTAL of Receipts This Page (optional).....

9

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### SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)    X   11a
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pure name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Douglas D. McCallen  Mailing Address 3564 Hamilton		Date of Receipt
City	State Zip Code	02 28 2013
West Lafayette	IN 47906-1275	Transaction ID : PR499709027726  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	240.25
Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Rgnl/Group Financial Officer  Aggregate Year-to-Date ▼  480.50	P/R Deduction (\$240.25 Monthly)
Full Name (Last, First, Middle Initial)  Scott P McDougall  Mailing Address 100 N.E. Adams St.		Date of Receipt  02 28 _2013 _
City	State Zip Code	Transaction ID : PR499726927726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	116.52
Name of Employer Caterpillar Inc.	Occupation	
Receipt For:  Primary General  Other (specify) ▼	Marketing Supervisor  Aggregate Year-to-Date ▼  233.04	P/R Deduction (\$116.52 Monthly)
Full Name (Last, First, Middle Initial)  C. Djuana Montgomery		Date of Receipt
Mailing Address 4706 W Pendleton PI		02 282013
City	State Zip Code	Transaction ID : PR499867227726
Peoria	IL 61615-2841	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	121.49
Name of Employer	Occupation	
Caterpillar Inc.	Senior IS Specialist	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  242.98	P/R Deduction (\$121.49 Monthly)
SUBTOTAL of Receipts This Page (optional)		478.26
TOTAL This Period (last page this line number		

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Use separate schedule(s)	(check	k only o	ne)						
for each category of the Detailed Summary Page	X	11a	11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Erec Montgomery Date of Receipt Mailing Address 4706 W Pendleton PI 2013 28 City State Zip Code Transaction ID: PR499867427726 Peoria IL 61615-2841 Amount of Each Receipt this Period FEC ID number of contributing C 141.33 federal political committee. Name of Employer Occupation Info Technology Manager-II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$141.33 Monthly) 282.66 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph Clint Poole Date of Receipt Mailing Address 100 N.E. Adams 02 28 2013 City State Zip Code Transaction ID: PR500164727726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 132.66 federal political committee. Name of Employer Occupation Caterpillar Finanical Services Corpora Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$132.66 Monthly) 265.32 Other (specify) Full Name (Last, First, Middle Initial) c. James Quigg Jr Date of Receipt Mailing Address 1927 CHESTNUT LANE 28 02 2013 City State Zip Code Transaction ID: PR500207827726 IL WASHINGTON 61571-9684 Amount of Each Receipt this Period FEC ID number of contributing 101.33 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For:

Aggregate Year-to-Date ▼

202.66

P/R Deduction (\$101.33 Monthly)

Primary

Other (specify)

General

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 29 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Edward J Rapp Date of Receipt Mailing Address 100 N.E. Adams 2013 28 City State Zip Code Transaction ID: PR500228127726 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 833.32 Other (specify) Full Name (Last, First, Middle Initial) **B.** Phillip Shankwitz Date of Receipt Mailing Address P.O. Box 1955 02 28 2013 City State Zip Code Transaction ID: PR500499127726 IL 61656-1955 Peoria Amount of Each Receipt this Period FEC ID number of contributing 150.01 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$150.01 Monthly) 300.02 Other (specify) Full Name (Last, First, Middle Initial) c. Paula P Shook Date of Receipt Mailing Address 2905 S. Hanna City-Glasford Rd 28 2013 City State Zip Code Transaction ID: PR500525827726 IL Hanna City 61536-9762 Amount of Each Receipt this Period FEC ID number of contributing 158.77 С federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$158.77 Monthly) 317.54 Other (specify) 725.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 -

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		13		14		15		16		117

NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	•	to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Rodney Shurman Mailing Address 4704 Osprey Dr		Date of Receipt
-		02 28 2013
City	State Zip Code IN 47909-8188	Transaction ID : PR500534127726
Lafayette	110 47909-0100	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	181.06
Name of Employer	Occupation	
Caterpillar Inc.	Facility Manager 5	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  362.12	P/R Deduction (\$181.06 Monthly)
Full Name (Last, First, Middle Initial) Michael Sposato		Date of Receipt
Mailing Address 100 N.E. Adams Street		02 28 2013
City	State Zip Code	Transaction ID : PR500647027726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Deputy General Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	282.08	P/R Deduction (\$25.00 Monthly)
Full Name (Last, First, Middle Initial) Brad Stevenson		Date of Receipt
Mailing Address 5908 N. Koerner Rd.		02 28 2013
City	State Zip Code	Transaction ID : PR500689227726
Peoria	IL 61615-9503	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	110.43
Name of Employer	Occupation	-
Caterpillar Inc.	EHS Manager I	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	220.86	P/R Deduction (\$110.43 Monthly)
LIDTOTAL of Describe This David (antique)	<b>&gt;</b>	316.49

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for each category of the Detailed Summary Page		11a	11b		11c	12		
	I □1	13	14		15	16	1	7

	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Robert J. Strong		Date of Receipt
Mailing Address 2444 N. Florian Ave.		M = M / D = D / Y = Y = Y
Apt. 12	71.0	02 28 2013
City	State Zip Code	Transaction ID: PR500725227726
Decatur	IL 62526-3076	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	183.07
Name of Employer	Occupation	
Caterpillar Inc.	Supply Chain Manager 5	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	P/R Deduction (\$183.07 Monthly)
Other (specify) ▼	362.15	··
Full Name (Last, First, Middle Initial)  3. Daniel Sundell		Date of Receipt
Mailing Address 4315 Commerce Drive, Ste 440		M = M / D = D / Y = Y = Y
PMB 242	Chata 7'- O- '	02 28 2013
City	State Zip Code	Transaction ID : PR500740627726
Lafayette	IN 47905-3825	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	105.42
Name of Employer	Occupation	
Caterpillar Inc.	Power Systems Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	0.0	P/R Deduction (\$105.42 Monthly)
Other (specify) ▼	210.84	· · · · · · · · · · · · · · · · · · ·
Full Name (Last, First, Middle Initial)  C. Robert C Thompson		Date of Receipt
Mailing Address 100 NE Adams		02 28 2013
City	State Zip Code	Transaction ID : PR500814927726
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	325.00
Name of Employer	Occupation	
Caterpillar Inc.	President, Insurance Services	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		P/R Deduction (\$325.00 Monthly)
Other (specify) ▼	650.00	
SUBTOTAL of Receipts This Page (optional)		613.49
TOTAL This Period (last page this line number	only)	

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Reid W. Waitt Date of Receipt Mailing Address 3910 Sawmill Lane 2013 28 City State Zip Code Transaction ID: PR500942827726 Morris IL 60450-7512 Amount of Each Receipt this Period FEC ID number of contributing C 214.42 federal political committee. Name of Employer Occupation Caterpillar Inc. GM, Compact Construction Equip Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$214.42 Monthly) 428.84 Other (specify) Full Name (Last, First, Middle Initial) B. David T Walton Date of Receipt Mailing Address 100 NE Adams 02 28 2013 City State Zip Code Transaction ID: PR500963927726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 198.64 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President, CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$198.64 Monthly) 397.28 Other (specify) Full Name (Last, First, Middle Initial) c. Ennodio Ramos Date of Receipt Mailing Address 100 N.E. Adams 28 2013 City State Zip Code Transaction ID: PR721097127726 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 200.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Director, IPS Mktg & Sales Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$200.00 Monthly) 400.00 Other (specify) 613.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Robert Bynum Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2013 28 City Zip Code State Transaction ID: PR805429427726 TN Nashville 37203-5251 Amount of Each Receipt this Period FEC ID number of contributing C 143.45 federal political committee. Name of Employer Occupation Caterpillar Inc. Cat Insurance Marketing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$143.45 Monthly) 286.90 Other (specify) Full Name (Last, First, Middle Initial) B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 143.45 SUBTOTAL of Receipts This Page (optional)..... 18229.79 TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 34 OF 34 (check only one)  11a 11b 11c 12 13 14 15 X 16 17
Any information copied from such Reports and State or for commercial purposes, other than using the na	ments may not be sold or used by any me and address of any political committee	person for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Poli	tical Action Committee	
Name of Employer  Receipt For: 2012  Primary  General	State Zip Code LA 70898  C C00451807  ccupation  ggregate Year-to-Date ▼	Date of Receipt  02 12 2013  Transaction ID: 20719745  Amount of Each Receipt this Period  1000.00  Refund of contribution
Other (specify) ▼  Full Name (Last, First, Middle Initial)  Mailing Address  City	State Zip Code	Date of Receipt  M M / D D / Y Y Y Y Y  Amount of Each Receipt this Period
Name of Employer O	C	
Name of Employer O	State Zip Code  C ccupation  ggregate Year-to-Date ▼	Date of Receipt  M.M. / D.D. / Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.Y.
SUBTOTAL of Receipts This Page (optional)		1000.00

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1000.00